

BLACKWELL GLOBAL INVESTMENTS LIMITED

General Regulatory Information

GENERAL REGULATORY INFORMATION

Blackwell Global Investments Limited is a limited liability company registered in The Bahamas with its registered office 201 Church Street, Sandyport, P.O. Box N-3406, Nassau, NP, The Bahamas. Company Number 201732 B. Blackwell Global Investments Limited is authorised and regulated by the Securities Commission of The Bahamas. Securities Industry Act, 2011 Registration Number SIA-F215.

General Regulatory Information

Regulation

Blackwell Global Investments Limited (hereafter “Blackwell Global”) is authorised and regulated by the Securities Commission of The Bahamas (SCB), licence number SIA-F215. The SCB is based at Poinciana House, North Building, 2nd Floor, 31A East Bay Street, P.O. Box N-8347, Nassau, Bahamas, and its website can be found at scb.gov.bs. Regulation by the SCB demands that Blackwell Global implements vigorous systems and controls regarding capital adequacy, conduct of business and client money. CFDs and FX are leveraged products and carry a high level of risk to your capital. It is possible to lose more than your initial deposit and you may be required to make further payments. These products may not be suitable for all clients, so please ensure you fully understand the risks involved.

Client Money

Blackwell Global is subject to the SCB’s client money rules with regards to the handling of funds belonging to its clients. The purpose of the client money rules is to ensure that an authorised firm provides adequate protection for funds which it holds on behalf of clients.

As a retail client, all funds which you transfer to us in connection with your account will be treated as client money for the purposes of the SCB Rules. This means that such funds will be segregated from our money and will not be used by us in the course of our business. The funds will be placed into a segregated client money bank account held at a bank approved by the SCB for this purpose. Currently this is Barclays Bank, London.

In the event of a failure of Blackwell Global Limited, the SCB’s client money rules prescribe the way in which funds which are held in a client money account would be returned to clients. The rules on client money, and in particular on the distribution of client money in the event of the failure of a firm or its bankers, are complex. Like all authorised firms, however, Blackwell Global will always deal with client money it holds in accordance with these rules.

Responsible Trading

Blackwell Global wants clients to enjoy trading and is committed to providing a secure, fair and socially responsible service. Whilst the majority of people do trade within their means, for some trading can become a problem. Blackwell Global enforces a self-exclusion policy where appropriate. If you need further help or to talk to someone about responsible trading then contact one of the appropriate organisations, such as Gamblers Anonymous: www.gamblersanonymous.org or GamCare, www.gamcare.org.uk or 0845 6000 133

Treating Customers Fairly (TCF)

Treating Customers Fairly (TCF) is central to Blackwell Global’s corporate culture and behaviour and we are determined to deliver fair outcomes to all clients. We apply appropriate and accurate measures to our analysis and use employee, client and other external feedback where appropriate, to improve our treatment of clients.